

*This brochure supplement provides information about Lance Paul Parker that supplements the Lance Parker Wealth Management. You should have received a copy of that brochure. Please contact Lance Paul Parker, Managing Member if you did not receive Lance Parker Wealth Management's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Lance Paul Parker is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# Lance Parker Wealth Management

Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Lance Paul Parker**

Personal CRD Number: 2499638

Investment Adviser Representative

Lance Parker Wealth Management  
1042 Pacific Street, Suite C  
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(805) 546-9611

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UPDATED: 4/6/16

## Item 2: Educational Background and Business Experience

**Name:** Lance Paul Parker

**Born:** 1960

### Education Background and Professional Designations:

#### Education:

BA Architecture, University of California - Berkeley - 1985

#### Designations:

##### CFS®- Certified Fund Specialist

##### MINIMUM QUALIFICATIONS

- o To earn this designation, candidates must have at least 2,000 hours of work experience in the financial services industry or a bachelor's degree from an accredited college or university. The designation will not be granted until the required minimum number of hours has been fulfilled or a bachelor's degree has been granted.
- o Pass three exams; each one covers two of the six modules (students can three month-extension for \$40)
- o Complete one open-book study (a one page set of facts based on a hypothetical client)
- o Fill out a registration form and complete a student questionnaire, and
- o Sign a code of ethics

#### Business Background:

08/2011 - Present	Managing Member Lance Parker Wealth Management
01/2009 - 08/2011	Investment Adviser Representative Securities America Advisors
01/2009 - 08/2011	Registered Representative Securities America Inc.
12/2004 - 01/2009	Investment Adviser Representative ePlanning Advisors, Inc.

12/2004 – 01/2009	Registered Representative ePlanning Securities, Inc.
01/1998 – 12/2004	Investment Adviser Representative Financial West Investment Group, Inc.
01/1998 – 12/2004	Registered Representative Financial West Group

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Lance Paul Parker is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Lance Paul Parker does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Parker Wealth Advisory Services.

### **Item 6: Supervision**

As the only owner and representative of Parker Wealth Advisory Services, Lance Paul Parker supervises all duties and activities of the firm. Lance Paul Parker's contact information is on the cover page of this disclosure document. Lance Paul Parker adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by California securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Lance Paul Parker has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Lance Paul Parker has **NOT** been the subject of a bankruptcy petition at any time.